

UNIVERSITY OF CALIFORNIA

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SANTA BARBARA • SANTA CRUZ

EXECUTIVE VICE PRESIDENT—
CHIEF FINANCIAL OFFICER

OFFICE OF THE PRESIDENT
1111 Franklin Street, 6th Floor
Oakland, California 94607-5200
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March 10, 2016

CHANCELLORS

Delegation of Authority – Approval of Exceptions to Approved Indirect Cost Rates DA2254/2592

The authorities granted to the President under Section 100.4 of the Standing Orders of the Regents regarding the negotiation and approval of indirect cost rates in accordance with 2 CFR 200 (successor to 2 CFR 220, and before that OMB Circular A-21), and including the authority to approve the application of an indirect cost rate other than the appropriate federally-negotiated indirect cost rate, were delegated to me on June 19, 2015 (DA 2592, attached). That delegation made explicit the same authorities that had been incorporated into my job description roles and responsibilities on March 17, 2014, superseding a similar delegation on July 13, 2010 (DA 2254), which itself superseded a delegation made on March 10, 1995 (DA 2040).

Within the above authority delegated to me, I hereby delegate to you the authority only to approve the application of an indirect cost rate other than the “approved indirect cost rate” in the submission and acceptance of extramural contracts and grants. The “approved indirect cost rate” is the rate determined in accordance with 2 CFR 200 and reflected in the campus rate agreement, or another UCOP-established rate. This authority is not applicable to federal funding that is received directly or indirectly. For State of California funding, this authority is granted only for indirect cost rates above a threshold established by the Office of President, and only for funds that are not covered by 2 CFR 200.

Any such application of an indirect cost rate other than the approved rate shall be in accordance with existing policy, practice, and UCOP guidance.

This authority may be redelegated.

A handwritten signature in black ink, appearing to read "Nathan Brostrom".

Nathan Brostrom
Executive Vice President
Chief Financial Officer

cc: Secretary and Chief of Staff to the Regents
Division Leaders
Universitywide Policy Office
Vice Chancellors Administration
Vice Chancellors Planning and Budget



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June 19, 2015

EXECUTIVE VICE PRESIDENT--CHIEF FINANCIAL OFFICER
Delegation of Authority to Negotiate and Approve Indirect Cost Rates

Section 100.4 of the Standing Orders of the Regents, Duties of the President of the University, states that:

(m) The President is authorized to negotiate and approve indirect cost rates to be applied to contracts and grants under which the University conducts programs supported by extramural funds, provided that such negotiations shall be directed toward full recovery of indirect costs, except that the fixed payment in lieu of indirect costs under the major United States Department of Energy contracts shall be approved by the Committee on Finance. Newly approved indirect cost rates determined under the provisions of Office of Management and Budget Circular A-21, and any successor publication thereto, shall be reported to the Committee on Finance annually.

On July 15, 2010, I delegated to you the authority to negotiate and approve indirect cost rates in accordance with 2 CFR 220 (successor to OMB Circular A-21), including the authority to approve the campus requests for applying an indirect cost rate other than the federally negotiated rate (DA 2254), superseding a similar delegation made on March 10, 1995 (DA 2040). That authority was incorporated into your job description roles and responsibilities on March 17, 2014, and is now being made explicit again in this Delegation of Authority.

I hereby delegate to you the authority to negotiate and approve indirect cost rates in accordance with 2 CFR 200 (successor to 2 CFR 220, and, before that, OMB Circular A-21), including the authority to approve the application of an indirect cost rate other than the federally negotiated indirect cost rate.

Any redelegation of this authority to the Vice Presidential level and above shall be in writing with copies provided to the Secretary and Chief of Staff to The Regents.

Yours very truly,

A handwritten signature in cursive script that reads "Janet Napolitano".

Janet Napolitano
President

cc: Chancellors
Director, Lawrence Berkeley National Laboratory
Division Leaders
Universitywide Policy Office